

1763

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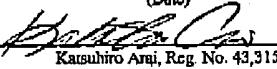
JUN 28 2004

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TO: Petition Department  
 FIRM: THE U.S. PATENT AND TRADEMARK OFFICE  
 FACSIMILE NO.: (703) 872-9306  
 FROM: Katsuhiro Arai  
 DATE: JUNE 28, 2004

OUR REF.: ASMP.032AUS  
 YOUR REF.: 09/511,934  
 FILING DATE: FEBRUARY 24, 2000  
 NO. OF PAGES: 16 (incl. cover sheet)  
 TIME:

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 OPERATOR PHONE NO.: (949) 760-0404      FACSIMILE NO.: (949) 760-9502

MESSAGE: ACCORDING TO A RECOMMENDATION OF ONE OF YOUR PERSONNEL IN THE PETITION DEPARTMENT, ATTACHED IS THE PETITION TO WITHDRAW HOLDING OF ABANDONMENT FOR THE ABOVE-IDENTIFIED PATENT APPLICATION. THE PETITION WAS FILED ON MAY 27, 2004 AND RECEIVED BY THE USPTO ON JUNE 1, 2004 AS A COPY OF A RETURNED POSTCARD INDICATED. AS I WAS TOLD THIS MORNING ON THE TELEPHONE CONVERSATION WITH ONE OF YOUR PERSONNEL THAT THE PETITION WAS NOT RECEIVED IN THE PETITION DEPARTMENT AS OF TODAY, WE ARE SENDING AGAIN ALL THE DOCUMENTS FILED ON MAY 27, 2004.

YOUR PROMPT ATTENTION TO THIS MATTER IS HIGHLY APPRECIATED.

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JUN 28 2004

PATENT

OFFICIAL

Case Docket No. ASMJP,032AUS  
Date: May 27, 2004

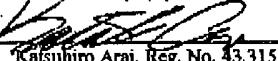
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hideaki FUKUDA, et al.  
 Appl. No. : 09/511,934  
 Filed : February 24, 2004  
 For : THIN-FILM FORMING  
 APPARATUS HAVING  
 AUTOMATIC CLEANING  
 FUNCTION FOR CLEANING  
 THE INSIDE  
 Examiner : Ram N. Kackar  
 Group Art Unit : 1763

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May 27, 2004

(Date)



Katsuhiro Arai, Reg. No. 43,315

## TRANSMITTAL LETTER

MAIL STOP: Box DAC  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) Petition to Withdraw Holding of Abandonment Based on Failure to Receive Office Action Under 37 CFR 1.18(a) with the attachments.
- (X) A copy of the Notice of Abandonment dated May 18, 2004 from the U.S. Patent and Trademark Office.
- (X) The Commissioner is hereby authorized to charge any fees which may be required to Account No. 11-1410.
- (X) Return prepaid postcard.



Katsuhiro Arai  
 Registration No. 43,315  
 Agent of Record  
 Customer No. 20,995  
 (949) 760-0404

H:\DOCS\KOA\KOA-3608.DOC  
 052704

Client Code: ASMJP,032AUS  
Page 2

accordingly, the initially set action due dates are closed on 23 October 2003) and the Notice of Abandonment was received on 24 May 2004 (see Remarks on the first page) and the due date to respond is set for 18 July 2004 (two months from the mailing date). There is no indication of receiving the Office action. If the undersigned had received the Office action, that would have been indicated in the docket record.

Further, in this application, all of the Office actions including the Notice of Abandonment have been addressed to the previous address. The address change request was faxed to the Office on 14 August 2002 as shown in the attached copy. Except for the present application, the address has been updated in the other applications. The improperly indicated address may have been a cause of nonreceipt of the Office letter. The undersigned requests that the address be updated in the present application.

The undersigned has never received the Office letter, and a petition under 37 C.F.R. § 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course of action, and such petition does not require a fee (M.P.E.P. 711.03(c)).

The undersigned respectfully requests grant the petition to withdraw the holding of abandonment of the present application and remail the Office action. Should fees be necessary for this petition, please charge them to our deposit Account No. 11-1410.

Enclosed in support of the submission are the following.

- (X) A copy of the docket record as of May 27, 2004.
- (X) A copy of the address change request faxed on 14 August 2002.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: May 27, 2004

By: 

Katsuhiko Arai  
Registration No. 43,315  
Agent of Record  
Customer No. 20,995  
(949) 760-0404

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052704

**Country Application**

Thursday, May 27, 2004

**Case Number: ASMPJ.032AUS**

Country: US	United States of America	SubCase:
NP Instr. Date:	Case Type: ORD	Status: Pending
Application Number: 09/511934	Filing Date: 24-Feb-2000	
Patent Number:	Issue Date:	
Publication Number:	PublicationDate:	Expir. Date:
Entity: LE	AbandonedDate:	Agent:
Client: ASMPJ	Prosecuting	Agent Ref#: J-065US
Tax Due Date:		Priority Date:
Annuity Number:		BarCodeDate:
Priority Number: NONE		Tax Start Date:
Assignee: ASM JAPAN K.K		

Inventors: FUKUDA, HIDEAKI  
SATOH, KIYOSHI

Invention Attorney(s): ASA

KOA

**Title: THIN-FILM FORMING APPARATUS HAVING AN AUTOMATIC CLEANING FUNCTION FOR  
CLEANING THE INSIDE**

**Remarks:** Notice of Abandonment recvd 5/24/04; Applicant's failure to timely file a proper reply to the Office letter mailed on 11/6/03  
RCE filed 10/30/02  
Drawings have been accepted; see Paper No.6

User ID: ASG

Date Created: 16-Jun-2000

Last Update: 26-May-2004

**List Of User Actions**

Action(s) Due	Due Date	Indicator	Action Taken
File Petition to Revive?	18-Nov-2004	Due Date	
Erroneous ABA/File Rescission?	18-Jul-2004	Final	
STATUS RCE APP	23-Jun-2004	Due Date	
A/Brief 5th Extension	23-Apr-2004	Final	23-Oct-2003
US-Appeal Brief Follow Up Date	23-Apr-2004	Due Date	23-Oct-2003
A/Brief 4th Extension	23-Mar-2004	Due Date	23-Oct-2003
A/Brief 3rd Extension	23-Feb-2004	Due Date	23-Oct-2003
A/Brief 2nd Extension	23-Jan-2004	Due Date	23-Oct-2003
A/Brief 1st Extension	23-Dec-2003	Due Date	23-Oct-2003
POSTCARD RECV'D?	23-Dec-2003	Due Date	07-Nov-2003
Postcard Recvd?/Ntc Appeal	23-Dec-2003	Reminder	03-Oct-2003
Appeal Brief Due	23-Nov-2003	Due Date	23-Oct-2003
Postcard Recvd?/Final Amend	21-Nov-2003	Reminder	29-Aug-2003
3rd/Final Ext Final OA/File RCE	24-Oct-2003	Final	21-Aug-2003
Appeal Stat Per/File RCE?	24-Oct-2003	Final	23-Sep-2003
2nd Ext Final OA	24-Sep-2003	Due Date	21-Aug-2003
Appeal/2nd Ext	24-Sep-2003	Due Date	23-Sep-2003
US-PAT OA 3 MON Follow Up Date	20-Sep-2003	Due Date	28-Apr-2003
1st Ext Final OA	24-Aug-2003	Due Date	21-Aug-2003
Appeal/1st Ext	24-Aug-2003	Due Date	22-Aug-2003
File Notice of Appeal?	24-Jul-2003	Due Date	21-Aug-2003
Final OA 3 Mon/File Appeal	24-Jul-2003	Due Date	14-Jul-2003

**Country Application**

Thursday, May 27, 2004

Final OA 2 Month Date	24-Jun-2003	Due Date	23-Jun-2003
Postcard Recvd?/Amend	20-Jun-2003	Reminder	01-Apr-2003
3rd Ext Amendment	20-May-2003	Final	20-Mar-2003
STATUS RCE APP	30-Apr-2003	Due Date	27-Nov-2002
2nd Ext Amendment	20-Apr-2003	Due Date	20-Mar-2003
1st Ext Amendment	20-Mar-2003	Due Date	18-Mar-2003
Amendment Due	20-Feb-2003	Due Date	12-Feb-2003
3rd/Final Ext FinalOA/File RCE	01-Jan-2003	Final	18-Sep-2002
STAT PER/FILE RCE	01-Jan-2003	Final	30-Oct-2002
POSTCARD RECD?	30-Dec-2002	Due Date	12-Nov-2002
Postcard Recvd?/Amend AF	18-Dec-2002	Reminder	01-Oct-2002
US-PAT 3 MONTH Follow Up Date	06-Dec-2002	Due Date	08-Jul-2002
2nd Ext Final OA	01-Dec-2002	Due Date	18-Sep-2002
APPEAL 2ND EXT	01-Dec-2002	Reminder	30-Oct-2002
1st Ext Final OA	01-Nov-2002	Due Date	18-Sep-2002
APPEAL 1ST EXT	01-Nov-2002	Reminder	30-Oct-2002
Final OA 3 Mon/File Appeal	01-Oct-2002	Due Date	18-Sep-2002
NOTICE APPEAL	01-Oct-2002	Due Date	30-Sep-2002
3rd Extension	07-Sep-2002	Final	06-Jun-2002
Postcard Recvd?/Amendment	06-Sep-2002	Reminder	20-Jun-2002
Final OA 2 Month Date	01-Sep-2002	Due Date	27-Aug-2002
F/U Status Check	25-Aug-2002	Due Date	13-Mar-2002
2nd Extension	07-Aug-2002	Due Date	06-Jun-2002
1st Extension	07-Jul-2002	Due Date	06-Jun-2002
Amendment Due	07-Jun-2002	Due Date	06-Jun-2002
Postcard Recvd?/Status Check	25-Apr-2002	Reminder	11-Mar-2002
STATUS CHECK	24-Feb-2002	Reminder	25-Feb-2002
STATUS CHK Follow Up Date	24-Feb-2002	Due Date	24-Aug-2001
POSTCARD RECD?	17-Nov-2001	Reminder	27-Aug-2001
POSSIBLE IDS	12-Sep-2001	Final	17-Aug-2001
FORE FILE Follow Up Date	24-Aug-2001	Due Date	24-Feb-2001
STATUS CHK	24-Aug-2001	Due Date	24-Aug-2001
Rev Intl Srch Rpt/File IDS?	12-Aug-2001	Due Date	12-Aug-2001
US-ASSIGNMENT Follow Up Date	05-Apr-2001	Due Date	05-Oct-2000
FORE FILE	24-Feb-2001	Due Date	24-Feb-2001
FOREIGN FILE?	18-Feb-2001	Reminder	18-Feb-2001
VL/C F/F 10 MON	24-Dec-2000	Due Date	18-Feb-2001
US-MISS PART 2 Follow Up Date	20-Dec-2000	Due Date	20-Jun-2000
ASSIGN RECD?	20-Nov-2000	Due Date	05-Oct-2000
CORRECT F/R REC	27-Oct-2000	Reminder	28-Aug-2000
FINAL MSNG PRTS	26-Oct-2000	Final	20-Jun-2000
POSTCARD RECD	27-Sep-2000	Reminder	04-Aug-2000
MISS PART 3 EXT	26-Sep-2000	Due Date	20-Jun-2000
POSTCARD RECD?	20-Sep-2000	Reminder	30-Jun-2000
POSTCARD RECD?	20-Sep-2000	Reminder	29-Jun-2000
MISS PART 2 EXT	26-Aug-2000	Due Date	20-Jun-2000

**Country Application**

Thursday, May 27, 2004

FILING RECEIPT	24-Aug-2000	Reminder	01-May-2000
RECD M/PARTS?	24-Aug-2000	Due Date	01-May-2000
MISS PART 1 EXT	26-Jul-2000	Due Date	20-Jun-2000
MISSING PARTS	26-Jun-2000	Due Date	20-Jun-2000
IDS	24-May-2000	Due Date	24-May-2000
POSTCARD REC'D?	24-May-2000	Reminder	01-May-2000
US PAT APPL DUE	29-Feb-2000	Due Date	24-Feb-2000

**Actions Due**

Thursday, May 27, 2004

**Case Number:** ASMJP.032AUS**Country:** US      **SubCase:**

United States of America

**Action Type:** US-Appeal Brief**Action Base Date:** 23-Sep-2003**Response sent date:** 23-Oct-2003

Action(s) Due	Due Date	Indicator	Taken
Appeal Brief Due	23-Nov-2003	Due Date	23-Oct-2003
A/Brief 1st Extension	23-Dec-2003	Due Date	23-Oct-2003
A/Brief 2nd Extension	23-Jan-2004	Due Date	23-Oct-2003
A/Brief 3rd Extension	23-Feb-2004	Due Date	23-Oct-2003
A/Brief 4th Extension	23-Mar-2004	Due Date	23-Oct-2003
A/Brief 5th Extension	23-Apr-2004	Final	23-Oct-2003
US-Appeal Brief Follow Up Date	23-Apr-2004	Due Date	23-Oct-2003

**Remarks:**

Advisory Action Rec'd 10/2/03; Affidavit or Exhibit will NOT be considered because it is not directed SOLELY to issue which were newly raised by the Examiner in the Final rejection

**User ID:** EC**Date Created:** 23-Oct-2003**Last Update:** 27-Oct-2003

**Actions Due****Thursday, May 27, 2004****Case Number:** ASMJP.032AUS**Country:** US      **SubCase:**

United States of America

**Action Type:** RCE APPLN PTO**Action Base Date:** 23-Oct-2003**Response sent date:**

Action(s) Due	Due Date	Indicator	Taken
POSTCARD RECVD?	23-Dec-2003	Due Date	07-Nov-2003
STATUS RCE APP	23-Jun-2004	Due Date	

**Remarks:**

5/23 date extend KOA spoke with examiner who will issue amendment second time as the first one was lost in mail per H.Isetani EM 5/19/04 (jap 8:47:17 AM)

4/23 date extend per H.Isetani EM 4/21/04 (jap 8:55:09 AM)

PC rec'd 11/7/03 SCM;A/T 11/11/03 jap

**User ID:** JAP**Date Created:** 19-May-2004**Last Update:** 19-May-2004

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 Ben A. Katsenellenbogen

# Knobbe Martens Olson & Bear LLP

## Intellectual Property Law

2040 Main Street  
 Fourteenth Floor  
 Irvine, CA 92614  
 Tel 949-760-0404  
 Fax 949-760-9502  
 kmob.com

Linda H. Liu  
 Andrew N. Merickel, Ph.D.  
 Douglas Y. Hudaqa  
 David L. Hauser  
 Karan D. Larson  
 James F. Markhoff  
 Scott L. Murray  
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European Patent Ally  
 Martin Heijbrandt

Korean Patent Ally  
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Scientists & Engineers  
 (Non-Lawyers)

Raymond J. Belenkoff\*  
 Khurram Rahman, Ph.D.  
 Jennifer A. Haynes, Ph.D.  
 Tommy Y. Nagisa  
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 James W. Chang, Ph.D.  
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 \*\* U.S. Patent Agent

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Country				
Telephone	Fax			

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Additional practitioner registration numbers are listed on supplemental sheet(s) attached hereto

**Request Submitted by:**

Firm Name (if applicable)	KNOBBE, MARTENS, OLSON & BEAR, LLP		
Name of Person submitting request	JAMES B. BEAR, MANAGING PARTNER		
Signature			
Telephone Number	(949) 760-0404	Date	14-02

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PTO/88/124A (9-06)

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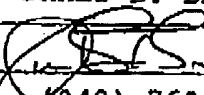
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 Please add the following practitioner registration number(s) to the Customer Number indicated above:


 Additional practitioner registration numbers are listed on supplemental sheet(s) attached hereto**Request Submitted by:**

Firm Name (if applicable)	KNOBBE, MARTENS, OLSON, & BEAR, LLP		
Name of Person submitting request	JAMES B. BEAR, Managing Partner		
Signature			
Telephone Number	(949) 760-0404	Date	8-14-02

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/511,934	02/24/2000	Hideski Fukuda	ASMJP.032AUS	5598
7590	05/18/2004			
EXAMINER				
KACKAR, RAM N				
ART UNIT		PAPER NUMBER		
1763		1763		

DATE MAILED: 05/18/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

**Notice of Abandonment**

Application No.	Applicant(s)
09/511,934	FUKUDA ET AL.
Examiner	Art Unit
Ram N Kackar	1763

*— The MAILING DATE of this communication appears on the cover sheet with the correspondence address—*

This application is abandoned in view of:

1.  Applicant's failure to timely file a proper reply to the Office letter mailed on 06 November 2003.
  - (a)  A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
  - (b)  A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
  - (c)  A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
  - (d)  No reply has been received.
2.  Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
  - (a)  The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
  - (b)  The submitted fee of \$\_\_\_\_\_ is insufficient. A balance of \$\_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_.
  - (c)  The issue fee and publication fee, if applicable, has not been received.
3.  Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
  - (a)  Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.
  - (b)  No corrected drawings have been received.
4.  The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5.  The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6.  The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7.  The reason(s) below:

  
**GREGORY MILLS**  
 SUPERVISORY PATENT EXAMINER  
 TECHNOLOGY CENTER 1700

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

UTILITY/DESIGN PATENT

Date of Action: May 27, 2004  
Date of Mail: May 18, 2004

Rec'd in the USPTO under the above-mentioned Date via Certificate of Mail:

Patent: H. YOKOYA, et al.

Title: THE IN-ITEM FOLDING APPARATUS HAVING AUTOMATIC

App. No: 09/511,943 Date: 02/24/00

Att. Name: John V. Kackay At. Date: 1763

Refile: None Ref. No.: None

Patent No.: None Issue: None

VERIFIED BY: ASTHE LASTANI, Atty. K. Arai QC: 10

Transmission: None

Petitioner to withdraw holding of Abandonment

or based on failure to receive Office Action Under

37 CFR 1.18(a) with the attachments.

A copy of the Notice of Abandonment dated 5/18/04

is enclosed.

- Return Receipt Postcard
- Other

Q60:

ORANGE COUNTY DOCUMENTING

JUN 08 2004

ROBIEE, MARTENS, OLSEN & BEAR, LLP

LAW OFFICES OF  
**KNOBBE, MARTENS, OLSEN & BEAR, LLP**

ATTORNEYS FOR INTEL CORPORATION AND INTEL CORPORATION  
INTEL PROPERTY LAW

2040 Main Street  
Irvine, CA 92614  
14th Floor

RECORDED IN ORANGE COUNTY, CALIFORNIA  
ON JUN 08 2004